

# Mr Thomas Spence Hay

Reference number: TSH00011

Certified / assessed by firm

Approved by regulator

This individual carries out roles that are regulated in different ways. Check their record for the firms they are connected with and further details about their roles.

No FCA or PRA disciplinary or regulatory action.

Known as

Thomas

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## Current roles and activities

This is not a full employment history, as it only covers roles with activities regulated by the FCA and/or PRA.

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Thomas Spence Hay is connected to:

Openwork Limited (Approved roles)

Openwork Limited (Certified/assessed roles)

TSH (Financial Services) Ltd (Appointed Representative)

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### Openwork Limited (Approved roles)

CF30 Customer

From 22 Jul 2013

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This individual has been approved by the FCA and/or PRA to perform specific roles at this firm.

### Openwork Limited

This firm trades under 3 names

Reference number: 408285

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**Contact details**

+447733500860

[tshthomasspence@gmail.com](mailto:tshthomasspence@gmail.com)

[www.spenceprofile.trade](http://www.spenceprofile.trade)

It's important to check the firm's record to see its status, any restrictions, and what regulated activities they are permitted to do.

We do not keep individual contact details on the Register. To get in touch with this individual, contact their business contact details.

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**Openwork Limited (Certified/assessed roles)**

**The individual's information has been confirmed as correct by their firm within the last 12 months**

Firms are required to confirm that the information shown is correct at least annually.

**Appointed representative dealing with clients for which they require qualification**

From 09 Dec 2019

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This individual has been certified and/or assessed by an authorised firm that is regulated for certain activities. This firm accepts responsibility for the certified/assessed individual and the information on this record.

Additionally, this individual performs 1 activity that requires qualifications.

Show activities

**Openwork Limited**

This firm trades under 3 names

Reference number: 408285

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**Head Office contact details**

+447733500860

[tshthomasspence@gmail.com](mailto:tshthomasspence@gmail.com)

[www.spenceprofile.trade](http://www.spenceprofile.trade)

Available for consultation:

Through email or Business what's app

It's important to check the firm's record to see its status, any restrictions, and what regulated activities they are permitted to do.

We do not keep individual contact details on the Register. To get in touch with this individual, you should contact their firm.

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## **TSH (Financial Services) Ltd (Appointed Representative)**

This is a firm that can carry out certain activities on behalf of another firm (its principal) that is regulated in the UK or regulated in another EEA country. The principal has accepted responsibility for the Appointed Representative's activities.

### **CF1 Director (AR)**

From 17 Jan 2014

Responsible principal firm:

**Openwork Limited**

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Taken up from 22 Jul 2013

### **TSH (Financial Services) Ltd**

This firm trades under 1 name

Reference number: 216512

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### **Head Office contact details**

+447733500860

[tshthomasspence@gmail.com](mailto:tshthomasspence@gmail.com)

[www.spenceprofile.trade](http://www.spenceprofile.trade)

Available for consultation:

Through email or Business what's app

It's important to check the firm's record to see its status, any restrictions, and what regulated activities they are permitted to do.

We do not keep individual contact details on the Register. To get in touch with this individual, you should contact their firm.

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## History

This is not a full employment history, as it only covers roles with activities regulated by the FCA and/or PRA.

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### Thomas Spence Hay is connected to:

Openwork Limited

Zurich Advice Network Limited

TSH (Financial Services) Ltd (Appointed Representative)

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#### Openwork Limited

CF21 Investment Adviser  
From 01Jun 2005 to 31Oct 2007

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CF30 Customer  
From 01 Nov 2007 to 30 Dec 2012

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#### Openwork Limited

This firm trades under 3 names

Reference number: 408285

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## Zurich Advice Network Limited

CF7 Sole Trader (AR)  
From 01 Dec 2001 to 23 Dec 2004

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CF21 Investment Adviser  
From 01 Dec 2001 to 31 May 2005

## Zurich Advice Network Limited

This firm trades under 3 names

Reference number: 184765

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## TSH (Financial Services) Ltd (Appointed Representative)

This is a firm that can carry out certain activities on behalf of another firm (its principal) that is regulated in the UK or regulated in another EEA country. The principal has accepted responsibility for the Appointed Representative's activities.

Taken up from 01 Dec 2001  
TSH (Financial Services) Ltd

This firm trades under 1 name

Reference number: 216512



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Nikhil Rathi  
CEO Financial Conduct Authority (FCA)